



*ZX Capital Markets Ltd*

## REVERSE SOLICITATION DECLARATION

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This Reverse Solicitation Declaration (“Declaration”) is made by you (“the Client”, “you”) in favour of ZX Capital Markets Ltd, a company incorporated in Saint Lucia under registration number 2023-00378, with its registered office at Ground Floor, The Sotheby Building, Rodney Village, Rodney Bay, Gros-Islet, Saint Lucia (“the Company”, “ZXCM”, “we”, “us”), and forms part of the account opening and client registration process on the Company's website and trading platforms.

### 1. Purpose

The Company does not solicit, market, advertise or otherwise actively promote its services to residents of certain jurisdictions where it does not hold, or does not intend to hold, a licence or regulatory authorisation to do so. Where a prospective client resident in such a jurisdiction nonetheless wishes to open an account with the Company, the Company relies on the principle of reverse solicitation — namely, that the client has approached the Company entirely on their own initiative, without any invitation, solicitation, advertisement or encouragement directed at them by or on behalf of the Company. This Declaration records that fact and forms part of the contractual basis on which your account is opened and maintained.

### 2. Your Declaration

By ticking the confirmation box during registration and proceeding to open an account, you declare, warrant and confirm to the Company, on a continuing basis for as long as your account remains open, that:

- you have approached the Company entirely on your own exclusive initiative to enquire about, and to consider opening an account and trading or investing in, the products and services offered by the Company;
- neither the Company, nor any of its officers, employees, agents, introducing brokers, affiliates or any other third party acting on the Company's behalf, has solicited, advertised to, marketed to, invited, encouraged or in any way approached you in connection with opening an account or trading or investing with the Company;
- you did not become aware of the Company, or form the intention to open an account with the Company, as a result of any targeted marketing, advertising, cold-calling, referral incentive or promotional activity directed at you or at residents of your jurisdiction of residence;
- the information you have provided in connection with your registration, including your identity, passport or other identification details and residential address, is true, accurate and complete, and you will notify the Company promptly of any change; and
- you understand that the Company may not be licensed or authorised by the regulator in your jurisdiction of residence, that your account is opened and serviced on a reverse solicitation basis at your own initiative, and that you may accordingly not benefit from certain local regulatory protections, investor compensation schemes or complaint mechanisms that would otherwise be available were the Company licensed in that jurisdiction.

### 3. Reliance and Effect

You acknowledge that the Company relies on this Declaration, together with the information you provide during registration, as a material basis for accepting you as a client and for determining the regulatory basis on which your account is opened and operated. If any statement in this Declaration is or becomes untrue, you must notify the Company immediately. The Company reserves the right, at its sole discretion and without liability, to suspend,

restrict or close your account and to decline or reverse any transactions if it determines, whether before or after account opening, that this Declaration is inaccurate, that your account was not in fact opened on a genuine reverse solicitation basis, or that continuing to service your account would expose the Company to regulatory or legal risk in your jurisdiction of residence.

#### **4. No Advice and No Inducement**

Nothing on the Company's website, including this Declaration, constitutes an offer, solicitation, invitation or inducement to any person in any jurisdiction where such offer, solicitation, invitation or inducement would be unlawful, or where the Company is not authorised to make it. Nothing in this Declaration constitutes investment, legal, tax or other advice, and the Company does not assess the appropriateness or suitability of its products for you by virtue of your acceptance of this Declaration.

#### **5. Governing Law and Acceptance**

This Declaration is governed by, and forms an integral part of the Client Agreement between you and the Company, and is subject to the same governing law and jurisdiction provisions as set out therein. By ticking the box provided during the registration process, you confirm that you have read, understood and agree to be bound by this Declaration, and that your electronic acceptance has the same legal effect as a handwritten signature.